

P21954.A04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gunter HEIL et al.

Group Art Unit: Unknown

Appl. No. : 10/031,932 (National Stage of PCT/EP00/06768)

Examiner: Unknown

I.A. Filed : July 15, 2000

For : LITHIUM OXIDE CONTAINING
LITHIUM INTERCALATION COMPOUNDS

RECEIVED
SEP 10 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.98, Applicant hereby directs the Examiner's attention to the following information.

Applicant directs the Examiner's attention to the following documents cited in International Application No. PCT/EP00/06768, and the International Search Report and/or the International Preliminary Examination Report in connection with this international application.

U.S. Patent No. 4,302,518

U.S. Patent No. 4,464,447

U.S. Patent No. 4,507,371

U.S. Patent No. 4,980,080

U.S. Patent No. 5,418,090

U.S. Patent No. 5,742,070

DE 195 15 629 A1

P21954.A04

DE 198 13 185 A1

EP 0 814 524 A1

EP 0 633 619 A1

W0 97/37935

WO 98/02931

Patent Abstracts of Japan, Vol. 1999, No. 08, June 30, 1999, which is a family member of

JP 11 071115 A

Patent Abstracts of Japan, Vol. 1997, No. 01, January 31, 1997, which is a family member of JP 08 227709 A

Patent Abstracts of Japan, Vol. 1998, No. 13, November 30, 1998, which is a family member of JP 10 203826 A

J. Amer. Chem. Soc. 76, 1499 (1954)

Scheifele et al., J. Electrochem. Soc., 142, 2544 (1955)

The Annex to the International Search Report indicates that U.S. Patent No. 5,911,920 is a family member of DE 198 13 185, and that U.S. Patent No. 5,453,330 is a family member of EP 0 633 619. Copies of these U.S. patents are also enclosed.

Additionally, Applicants bring to the Examiner's attention the following documents:

DE 691 27 109 T2

EP 0 728 701 A1 and its family member U.S. Patent No. 5,807,646

P21954.A04

Applicants further direct the Examiner's attention to WO 01/07367 which is the publication of International Application No. PCT/EP00/06766 of which U.S. Application No. 10/031,933 is the U.S. National Stage Application.

Copies of the International Search Report in English and German and the International Preliminary Examination Report were enclosed with the papers when entering the National Stage. The Examiner is invited to review these materials to inspect the relevance indicated during international examination with respect to the documents cited therein. Moreover, it is assumed that the Examiner has received an English translation (PCT/IB/338) of the International Preliminary Examination Report. If the Examiner has not received the English translation of the International Preliminary Examination Report, the Examiner is requested to contact the undersigned and an English translation will be provided.

Copies of the above-noted documents, except for J. Amer. Chem. Soc. 76, 1499 (1954) and Scheifele et al., J. Electrochem. Soc., 142, 2544 (1955) which are not readily available to the undersigned, are enclosed together with a duly completed Form PTO-1449. In this regard, it is noted that the Form PTO-1449, with the exception of the U.S. application, references each of the cited documents. The U.S. application is not listed as it is not a publication. Moreover, the Examiner is invited to review the file of the cited U.S. application at the Patent and Trademark Office. The Examiner is requested to consider each of the documents, and to initial the Form PTO-1449 in the appropriate spaces. Applicants respectfully request that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office.

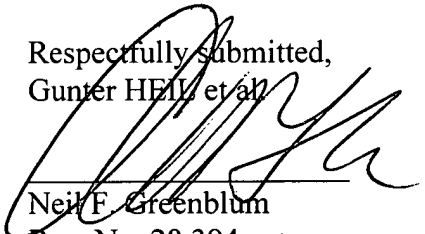
P21954.A04

This Information Disclosure Statement is being filed prior to mailing of a first Office Action on the merits. Accordingly, no fee should be necessary for its consideration. However, if any fees are deemed to be necessary for consideration of this disclosure statement, the Commissioner is authorized to charge any necessary fee to Deposit Account No. 19-0089.


Should the Examiner have any questions or comments regarding this matter, the undersigned may be contacted at the below-listed telephone number.

June 18, 2002
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Respectfully submitted,
Gunter HEIL et al


Neil F. Greenblum
Reg. No. 28,394

6/18/02 33,094

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P21954	Serial No. 10/031,932	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant Gunter HEIL et al.		
		Filing Date July 15, 2000	Group Unknown	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 3 0 2 5 1 8	11/24/81	GOODENOUGH et al.			
	4 4 6 4 4 4 7	08/07/84	LAZZARI et al.			
	4 5 0 7 3 7 1	03/26/85	THACKERAY et al.			
	4 9 8 0 0 8 0	12/25/90	LECERF et al.			
	5 4 1 8 0 9 0	05/23/95	KOKSBANG et al.			
	5 7 4 2 0 7 0	04/21/98	HAYASHI et al.			
	5 9 1 1 9 2 0	06/15/99	HASEZAKI et al.			
	5 4 5 3 3 3 0	09/26/95	KAWASAKI et al.			
	5 8 0 7 6 4 6	09/15/98	IWATA et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
1 9 5 1 5 6 2 9	10/31/96	GERMANY			
1 9 8 1 3 1 8 5	12/03/98	GERMANY			
0 8 1 4 5 2 4	12/29/97	E.P.O.			
0 6 3 3 6 1 9	01/11/95	E.P.O.			
9 7 / 3 7 9 3 5	10/16/97	W.I.P.O.			
9 8 / 0 2 9 3 1	01/22/98	W.I.P.O.			
1 1 - 7 1 1 1 5	03/16/99	JAPAN			
8 - 2 2 7 7 0 9	09/03/96	JAPAN			
1 0 2 0 3 8 2 6	08/04/98	JAPAN			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	Patent Abstracts of Japan, Vol. 1999, No. 08, June 30, 1999, which is a family member of JP11-071115 A.
2	Patent Abstracts of Japan, Vol. 1997, No. 01, January 31, 1997, which is a family member of JP 08-227700 A.
3	Patent Abstracts of Japan, Vol. 1998, No. 13, November 30, 1998, which is a family member of JP 10-203826 A.
4	J. Amer. Chem. Soc. 76, 1499 (1954).
5	Scheifele et al., J. Electrochem. Soc., 142,2544(1955)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.
P21954

Serial No.
10/031,932

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)**

Applicant
Gunter HEIL et al.

Filing Date
July 15, 2000

Group
Unknown



FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.